

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231, on \_\_\_\_\_\_\_

TOWNSEND and TOWNSEND and CREW LLP

PATENT.
Attorney Docket No.15270-001430US
Client Reference No. 120-PCT-CIP1

## RECEIVED

APR 1 0 2001

TECH CENTER 1600/2900

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mary M. Bendig et al.

Application No.: 09/155,739

Filed: September 11, 1998

For: THERAPEUTIC USES OF

Assistant Commissioner for Patents

**HUMANIZED ANTIBODIES AGAINST** 

**ALPHA-4 INTEGRIN** 

Washington, D.C. 20231

Examiner: P. Gambel, Ph.D.

Art Unit: 1644

DECLARATION OF ANDREW T.

**SERAFINI** 

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OFFICE OF PETITIONS

Sir:

I, Andrew T. Serafini, state as follows:

- 1. I am employed by the law firm of Townsend and Townsend and Crew LLP, San Francisco, California (TTC) and am a patent agent registered to practice before the U.S. Patent and Trademark Office (PTO).
- 2. I am one of the persons that have been responsible for the prosecution of the above-captioned application.
- 3. The attached documents are true copies of documents from TTC's files: (i) the Communication Under 37 CFR 1.821(e) dated July 5, 2000 ("the Communication"); and (ii) a letter dated July 10, 2000 reporting to the client that the Communication was filed on July 5, 2000.

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- 4. I remember working on the Communication. Having reviewed and signed the Communication, I recall giving it to Lynda Robisch for filing. This event stands out in my memory because Lynda Robisch was not my usual secretary.
- 5. I signed the attached reporting letter dated July 10, 2000 which states that the Communication had been filed on July 5, 2000.
- 6. I have checked my time records for this period. Attached are two pages of my time record for July 5, 2000 and July 10, 2000, respectively. My initial and the noted dates are shown on the record. Contents on the record that are irrelevant to the subject application have been redacted to preserve confidentiality. These records confirm that I performed the work on the Communication on July 5, 2000 and the reporting letter on July 10, 2000.
- 7. In view of the above facts, I believe that the Communication was properly filed on July 5, 2000.
- 8. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Dated: MARCH 26, 200

Andrew T. Serafini, Ph.D.

Reg. No. 41,303

Attachment: (i) copy of Communication Under 37 CFR 1.821(e) dated July 5, 2000;

(ii) copy of reporting letter dated July 10, 2000; and

(iii) time records for July 5, 2000 and July 10, 2000.

PA 3131974 v3

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231,

Much 30, 2001

TOWNSEND and TOWNSEND and CREW LLP

Attorney Docket No.15270-001430US Client Reference No. 120-PCT-CIP1

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**ALPHA-4 INTEGRIN** 

Washington, D.C. 20231

Examiner: P. Gambel, Ph.D.

Art Unit: 1644

DECLARATION OF LYNDA ROBISCH

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**OFFICE OF PETITIONS** 

Sir:

I, Lynda Robisch, state as follows:

- 1. I am currently employed by Fish & Richardson P.C., Palo Alto, California.
- 2. I was a patent secretary with the law firm of Townsend and Townsend and Crew LLP, San Francisco, California ("TTC") from August, 1999 to October, 2000.
- 3. During my employment at TTC, I assisted in preparation and mailing of many official filings with the U.S. Patent and Trademark Office. I was, and am, readily familiar with this procedure in signing certificates of mailing and filing correspondence to the U.S. Patent and Trademark Office. In brief, the procedure involves a patent secretary signing the certificate of mailing on the original of a document, copying the document for the TTC file and for the firm client, sealing the original in an envelope addressed to the U.S. Patent and Trademark Office, and routing the envelope to the TTC mailroom before a designated time.

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The mailroom then stamps and mails the document on the same day. The secretary also inserts the TTC copy of the document in the TTC files.

- 4. I have reviewed the attached Communication Under 37 C.F.R. § 1.821(e) ("the Communication"), which I understand was obtained from TTC's file of the above case, and recognize the date and signature on the Certificate of Mailing of the Communication as my handwriting.
- 5. Because I signed the certificate of mailing and was readily familiar with the procedure for filing correspondence to the U.S. Patent and Trademark Office, and because the copy of the document is present in TTC's file as expected from following this procedure, I believe that the correspondence was mailed on the date indicated on the certificate of mailing.
- 6. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Lynda Robisch

Dated: March 26, 2001

PA 3131973 v3

Attachment: copy of Communication Under 37 CFR 1.821(e) dated July 5, 2000.